Personalize Your LLM: Fake it then Align it

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Abstract

 Personalizing large language models (LLMs) is essential for delivering tailored interactions that improve user experience. Many exist- ing personalization methods require fine-tuning LLMs for each user, rendering them pro- hibitively expensive for widespread adoption. Although retrieval-based approaches offer a more compute-efficient alternative, they still de- pend on large, high-quality datasets that are not consistently available for all users. To address 011 this challenge, we propose **CHAMELEON**, a scalable and efficient personalization approach 013 that uses (1) self-generated personal prefer- ence data and (2) representation editing to enable quick and cost-effective personaliza- tion. Our experiments on various tasks, in- cluding those from the LaMP personalization **benchmark, show that CHAMELEON efficiently** adapts models to personal preferences, improv- ing instruction-tuned models and outperforms two personalization baselines by an average of 022 40% across two model architectures.

⁰²³ 1 Introduction

 Large language models (LLMs) have transformed natural language processing (NLP), achieving ex- cellent performance across a wide range of tasks. Their use has already expanded into diverse do- [m](#page-9-0)ains and user bases [\(Gururangan et al.,](#page-8-0) [2020;](#page-8-0) [Shi](#page-9-0) [et al.,](#page-9-0) [2024;](#page-9-0) [Xu et al.,](#page-9-1) [2024a,](#page-9-1)[b\)](#page-9-2). This has motivated the need for personalization, i.e. tailoring these models to individual user preferences and specific contexts [\(Kirk et al.,](#page-8-1) [2023\)](#page-8-1).

 Current personalization methods are often im- practical for large-scale deployment. Fine-tuning approaches [\(Li et al.,](#page-8-2) [2024b;](#page-8-2) [Tan et al.,](#page-9-3) [2024;](#page-9-3) [Clarke et al.,](#page-7-0) [2024\)](#page-7-0) are resource-intensive, mak- ing it prohibitively expensive to customize models for each individual user. In contrast, retrieval-based [m](#page-8-4)ethods [\(Salemi et al.,](#page-9-4) [2024;](#page-9-4) [Di Palma,](#page-8-3) [2023;](#page-8-3) [Fan](#page-8-4) [et al.,](#page-8-4) [2024\)](#page-8-4) offer greater computational efficiency but suffer from a significant drawback: they rely on

large high-quality datasets that are not consistently **042** available for all users. These limitations impede **043** the effective scaling of personalization, especially **044** given the diverse and rapidly evolving nature of **045** user preferences. 046

To achieve scalable personalization, we argue **047** that two essential conditions must be met: (1) data **048** efficiency, which enables effective personalization **049** with minimal user interaction, and (2) compute efficiency, allowing for deployment across a large user **051** base. We propose CHAMELEON, a new approach **052** that fulfills both requirements by using synthetic, **053** self-generated data to capture user preferences and **054** uses representation editing to tailor its behavior to **055** each user's unique preferences [\(Adila et al.,](#page-7-1) [2024\)](#page-7-1). **056**

For each user, we begin with a small amount 057 of historical data—sometimes as little as a single **058** sample. Using this data, we prompt the LLM to **059** generate two characteristic descriptions: one that **060** reflects the user's personal preferences based on **061** their history and another that represents a contrast- **062** ing or non-personalized profile (e.g., "funny" ver- **063** sus "formal"). From these descriptions, we create **064** synthetic user preference data. We then identify **065** two distinct embedding spaces—personalized and **066** non-personalized—derived from the synthetic pref- **067** erence data. Finally, we edit the LLM's embed- **068** dings to enhance the influence of the personalized **069** subspace while diminishing the influence of the **070** non-personalized subspace. **071**

With this data- and compute-efficient approach, **072** we improve instruction-tuned models and two LLM **073** personalization baselines by an average of 40% in **074** the LaMP personalization benchmark [\(Salemi et al.,](#page-9-4) **075** [2024\)](#page-9-4). In summary, our contributions are: **076**

1. We introduce CHAMELEON, an LLM per- **077** sonalization framework that leverages self- **078** generated user preference data and embed- **079** ding editing techniques, providing scalable, **080** user-tailored personalization that is nearly **081**

082 cost-free.

- **083** 2. On extensive evaluation using the LaMP **084** benchmark [\(Salemi et al.,](#page-9-4) [2024\)](#page-9-4), we show 085 that CHAMELEON improves upon instruction-**086** tuned models and two LLM personalization **087** benchmarks by an average of 40% on two **088** model architectures.
- **089** 3. CHAMELEON can effectively personalize for **090** new, unseen users without user history by **091** leveraging profiles from other users with simi-**092** lar characteristics and preferences.

⁰⁹³ 2 Related Work

 Our work seeks to address the personalization prob- lem for LLMs using representation editing as an efficient technique to align models with user pref-erences. We give a brief overview of related areas.

 Personalized LLMs. Unlike general LLMs that produce uniform responses for all users, personal- ized LLMs adapt to the specific linguistic and com- [m](#page-7-0)unication preferences of individual users [\(Clarke](#page-7-0) [et al.,](#page-7-0) [2024\)](#page-7-0). Fine-tuning is a common method for achieving this, by training models on user-specific or task-specific data to personalize their behavior **(Woźniak et al., [2024\)](#page-9-5). Approaches like P-RLHF** [\(Li et al.,](#page-8-2) [2024b\)](#page-8-2), Persona-Plug [\(Liu et al.,](#page-8-5) [2024a\)](#page-8-5), and ALOE [\(Wu et al.,](#page-9-6) [2024\)](#page-9-6) exemplify this strategy. However, fine-tuning is resource-intensive, making it impractical to personalize models for individ- ual users at scale. Parameter-efficient fine-tuning (PEFT) [\(Tan et al.,](#page-9-3) [2024\)](#page-9-3) reduces the computational burden but still requires large amounts of user data, which is often scarce and difficult to obtain in user personalization task [\(Zollo et al.,](#page-9-7) [2024\)](#page-9-7).

 Retrieval-based methods personalize model out- puts by incorporating user-specific information re- [t](#page-8-7)rieved at inference time [\(Dai et al.,](#page-8-6) [2023;](#page-8-6) [Kang](#page-8-7) [et al.,](#page-8-7) [2023;](#page-8-7) [Liu et al.,](#page-8-8) [2023;](#page-8-8) [Wang et al.,](#page-9-8) [2023;](#page-9-8) [Zhiyuli et al.,](#page-9-9) [2023;](#page-9-9) [Salemi et al.,](#page-9-4) [2024\)](#page-9-4). While these methods avoid the need for tuning, they strug- gle with LLMs' limited context lengths, especially when dealing with long user histories. Although long-context models [\(Dubey et al.,](#page-8-9) [2024;](#page-8-9) [Reid et al.,](#page-9-10) [2024;](#page-9-10) [Liu et al.,](#page-8-10) [2024b\)](#page-8-10) allow for processing larger user histories, this incurs a high cost as many mod- els are charged per token. Attempts to address this issue by summarizing retrieved information have been made [\(Richardson et al.,](#page-9-11) [2023;](#page-9-11) [Liu et al.,](#page-8-11) [2024c\)](#page-8-11). However, these approaches are vulnera-[b](#page-9-12)le to distractions from irrelevant information [\(Shi](#page-9-12)

[et al.,](#page-9-12) [2023\)](#page-9-12), particularly when user behavior or **131** [p](#page-8-12)references shift [\(Carroll et al.,](#page-7-2) [2024;](#page-7-2) [Franklin](#page-8-12) **132** [et al.,](#page-8-12) [2022\)](#page-8-12). **133**

The closest work to ours is LLM-REC [\(Lyu et al.,](#page-9-13) **134** [2024\)](#page-9-13), a prompt-based approach that personalizes **135** LLMs using summaries of selected top user his- **136** tory data. Our method takes this a step further **137** by generating self-preference data, identifying em- **138** bedding spaces that capture personalized versus **139** non-personalized preferences, and performing per- **140** sonalization through representation editing. This **141** enables a more data- and compute-efficient person- **142** alization process, making it possible to adapt mod- **143** els at scale to evolving user preferences quickly. **144** Our approach represents a significant step toward **145** scalable, real-time personalization that caters to **146** dynamic user preference data. **147**

Representation Editing for Personalization. **148** Representation editing has become an important **149** technique for model alignment, involving the direct **150** manipulation of a model's latent representations to **151** improve its performance and align it with desired **152** attributes [\(Wang et al.,](#page-9-14) [2024a;](#page-9-14) [Kong et al.,](#page-8-13) [2024\)](#page-8-13). **153** For example, [Han et al.](#page-8-14) [\(2024\)](#page-8-14) demonstrated that 154 steering LLM text embeddings can guide model **155** output *styles*. Similarly, [\(Li et al.,](#page-8-15) [2024a;](#page-8-15) [Han et al.,](#page-8-16) **156** [2023a\)](#page-8-16) show that adjusting embeddings during in- **157** ference can enhance specific attributes, such as **158** honesty or truthfulness, in the generated outputs. **159** [Liang et al.](#page-8-17) [\(2024\)](#page-8-17) found that representation edit- **160** ing can control aspects of text generation, such **161** as safety, sentiment, thematic consistency, and *lin-* **162** *guistic style*. These findings highlight the potential **163** of using representation editing to guide models for **164** personalization tasks. For visual generation models **165** like Stable Diffusion, embedding-based personal- **166** ization has long been recognized as an established **167** technique [\(Han et al.,](#page-8-18) [2023b;](#page-8-18) [Arar et al.,](#page-7-3) [2024;](#page-7-3) **168** [Alaluf et al.,](#page-7-4) [2023;](#page-7-4) [Yang et al.,](#page-9-15) [2024\)](#page-9-15). **169**

Despite the growing interest in representation **170** editing, little research has explored its application **171** for personalizing LLMs, as proposed in our work. **172** The most closely related study is [Adila et al.](#page-7-1) [\(2024\)](#page-7-1), **173** where the authors use embedding editing for gen- 174 eral, rather than personalized, alignment to broad **175** human preferences, relying on self-generated syn- **176** thetic data. Our approach advances this notion **177** by introducing a tailored mechanism that generates **178** personalized synthetic data for each user and adapts **179** embedding editing techniques for both individual **180** and group-based personalization. **181**

Figure 1: CHAMELEON identifies two separate subspaces, one personalized and one non-personalized, from selfgenerated user characteristic insights. Based on these subspaces, we modify the LLM embeddings during inference.

¹⁸² 3 CHAMELEON: Personalization through **¹⁸³** Representation Editing

 We present CHAMELEON, an almost cost-free alignment personalization framework with repre- sentation editing using self-generated synthetic user preference data. Figure [1](#page-2-0) illustrates our technique. We achieve personalization with two stages: (1) self-generating user preference data (Section [3.1\)](#page-2-1), and (2) representation editing using the self-generated data (Section [3.2\)](#page-3-0). Additionally, we extend CHAMELEON to support scalable user groups, enabling efficient alignment at a group level (Section [3.3\)](#page-4-0).

195 3.1 Self-generated Preference Data

 Our method for generating self-preference data uses generic, non-personalized LLMs to identify user-specific characteristics and preferences from the available user history. Using these identified characteristics, we prompt the model to generate tailored responses for each user. This process con- sists of three key steps: (1) selecting relevant user history, (2) generating insights from the selected history, and (3) producing synthetic user preference data guided by these insights.

 User History Selection. User's historical behav- ior usually contains important information regard- ing their characteristics, linguistic patterns, and preferred interactions. However, not all histori- cal behaviors serve as reliable indicators of user preferences. Adapting the model using redundant and generic user behavior may not result in high-quality personalized LLMs. Selecting and filtering

for representative user historical behavior is thus **214** important. Although recent studies showed suc- **215** [c](#page-9-16)ess in using retrieval-based re-rankers [\(Zhuang](#page-9-16) **216** [et al.,](#page-9-16) [2024\)](#page-9-16) and encoder-based user history selec- **217** tion [\(Liu et al.,](#page-8-5) [2024a\)](#page-8-5), they can struggle when user **218** preferences shift rapidly or when there's limited **219** historical data. To address this, we focus on a more **220** lightweight and adaptable approach to user history **221** selection. **222**

Since our approach relies on embedding edit- **223** ing to adapt the model, we need to identify user- **224** representative historical data. The first step is to **225** define what makes this data "representative." We **226** leverage sentence embeddings for their strong abil- **227** ity to capture both the meaning and context of **228** text [\(Reimers and Gurevych,](#page-9-17) [2019\)](#page-9-17). Our goal is **229** to find the most informative and relevant embed- **230** ding pieces that reflect key user preferences. A **231** lightweight approach to find such data is to per- **232** form principal component analysis (PCA) on the **233** embeddings [\(Gewers et al.,](#page-8-19) [2021\)](#page-8-19). Specifically, for **234** each user *u*, given a set of user history $\mathcal{H}_u = \{h_u^i\}$ 235 where each h_u^i represents an individual user history 236 sample with index i , we have **237**

$$
e_u^i = \text{SentenceEmbedder}(h_u^i). \tag{1}
$$

Then, we have that W_u are the top k principal 239 components of $\mathbf{E}_u = [e_u^1, e_u^2, \dots, e_u^N]^\top$ and the 240 projection of each embedding is $z_u^i = e_u^i \mathbf{W}_u$. We 241 next find the top k history data embeddings: **242**

$$
E_u^k = \arg_{i \in [1,...,N]} \log |z_u^i| \, , \tag{2}
$$

and get top k history data $H_u^k = \{h_u^i : i \in E_u^k\}.$ 244

Figure 2: Self-generated user preference data: we use the generated conclusion of user characteristics to guide the personal answer generation.

 Insight Generation. We query an instruction- tuned general-purpose LM to analyze and infer characteristics specific to individual users. For each user u, given the selected set of user history H_u^k from the previous step, we query the LM (de-**noted as** ω **) and generate two distinct styles of re-1871** sponses: one as a personalized agent (C^P) and **the other as a non-personalized/neutral agent** (C^N) **. 1253** The personalized agent (C^P) draws on the user's 254 historical data H_u^k , concluding insights about the user's preferences, behaviors, and style. The neu-**1256 1256 1256 in** tral agent (C^N) is asked to give characteristics of impersonal and general responses. It represents the standard behavior of the model when user person- alization is absent. Then, for each user u, we have **an personalized-neutral insights pair** (c_u^P, c_u^N) .

 Generating Synthetic User Preference Data Once the insights are generated, we use the insight pairs as prompt guidance to generate synthetic user preference data. For each user u and each user 265 query q_u , given the pre-selected history set \mathcal{H}_u 266 and insight pair $(c_u^{i,P}, c_u^{i,N})$, we have our general-**purpose LM** (ω) separately generate personalized 268 and neutral preference outputs $(\hat{y}_{u}^{i,P}, \hat{y}_{u}^{i,N})$ to query q_u^i conditioned on $(c_u^{i,P}, c_u^{i,N})$ respectively. We 270 then concatenate the outputs $(\hat{y}_u^{i,P}, \hat{y}_u^{i,N})$ with user 271 history \mathcal{H}_u and obtain the self-generated preference **pair** $(p_u^{i,P}, p_u^{i,N})$ for each user query q_u^i . By apply-ing this procedure to all user queries, we obtain self-generated preference data pairs (P_u^P, P_u^N)

Note that we do not apply any prompt tuning; 275 rather, we use a predefined set of prompt templates **276** and a frozen LLM for all generations. Figure [2](#page-3-1) **277** illustrates the full process, with prompting details **278** in Appendix [A.3.](#page-11-0) **279**

3.2 Representation Editing **280**

Next, using the self-generated user preference **281** data, we align the model with users' preferences **282** [w](#page-7-1)ith a technique inspired by ALIGNEZ [\(Adila](#page-7-1) 283 [et al.,](#page-7-1) [2024\)](#page-7-1). We first identify two subspaces in **284** the model's embedding space (denoted as vector **285** $\theta \in \mathbb{R}^d$ in LM ω 's latent space) that correspond 286 with the users' preferences. We use singular value 287 decomposition (SVD) on the preference data em- **288** beddings to capture directions of the personalized **289** embeddings $\theta_{l,u}^P$. Next, we employ CCS-based 290 identification [\(Burns et al.,](#page-7-5) [2023\)](#page-7-5) to find the hy- **291** perplane that best separates the non-personalized **292** embeddings from the personalized ones and denote **293** the directions of the hyperplane as $\theta_{l,u}^N$. A detailed 294 explanation is provided in Appendix [A.4.](#page-12-0) **295**

With the personalized and non-personalized sub- **296** spaces θ^P and θ^N , we perform embedding editing 297 on the MLP outputs of the most impactful decoder **298** layers (i.e. layers that have lowest average CSS **299** loss) during the inference phase to adapt the LLM 300 to users' preferences. More concretely, given x_l , the output of the MLP of layer $l \in L$, where L is 302

303 the set of layers with lowest average CSS loss, we **304** strengthen the personalized direction by

$$
\hat{x}_{l,u} \leftarrow x_l + \frac{\langle x_l, \theta_{l,u}^P \rangle}{\langle \theta_{l,u}^P, \theta_{l,u}^P \rangle} \theta_{l,u}^P
$$

306 and remove the non-personalized direction by

$$
\hat{x}_{l,u} \leftarrow \hat{x}_{l,u} - \frac{\langle \hat{x}_{l,u}, \theta_{l,u}^N \rangle}{\langle \theta_{l,u}^N, \theta_{l,u}^N \rangle} \theta_{l,u}^N.
$$

308 These edits are performed for each user query.

309 3.3 Group-scale Personalization

 Individually aligning the model for multiple users is inefficient when scaling to a large user base [\(Dai et al.,](#page-8-20) [2024\)](#page-8-20). To overcome this, we extend CHAMELEON to group-scale alignment. Instead of aligning for each user separately, we combine the history data of all users into a single group and perform collective alignment. Specifically, we ag- gregate the synthetic self-preference data for all **users into one set,** $(P^P, P^N) = \{(p_u^{i,P}, p_u^{i,N}) \in$ $(P_u^P, P_u^N)|u \in U$, where U is the set of users in the group. (P^P, P^N) is then used to find direction vectors for representation editing.

 This approach enables efficient personalization by processing all users simultaneously, leading to faster alignment. In Section [4.4,](#page-5-0) we show that group-scale personalization outperforms the single- user setting. Furthermore, this method allows us to leverage data from other users for those with no available history, enabling personalization for new or unseen users (see Experiment [4.2\)](#page-5-1).

³³⁰ 4 Experiments

331 We begin by detailing our experimental setup in **332** Section [4.1,](#page-4-1) followed by experiments to validate **333** the following key claims about CHAMELEON:

- **334** Aligns LLMs to user-specific preferences (Sec-**335** tion [4.2\)](#page-5-1),
- **336** Generalizes to unseen users (Section [4.3\)](#page-5-2),
- **337** Group-scale personalization improves perfor-**338** mance (Section [4.4\)](#page-5-0),
- **339** Outperforms compute extensive methods like **340** DPO in time-constrained scenarios (Section [4.5\)](#page-6-0).

341 In Section [4.6,](#page-6-1) we perform ablation study to under-**342** stand the effect of the number of user history data **343** to CHAMELEON performance.

4.1 Experimental Setup **344**

Datasets and Tasks. We evaluate CHAMELEON **345** using the LaMP language model personalization **346** benchmark [\(Salemi et al.,](#page-9-4) [2024\)](#page-9-4). Our evaluation **347** focuses on three specific personalization tasks: (1) **348** Personalized Movie Tagging (LaMP 2), (2) Person- **349** alized Product Rating (LaMP 3), and (3) Person- **350** alized Tweet Paraphrasing (LaMP 7). We adhered **351** to the user-based data split provided by the LaMP **352** benchmark, using the default training and test splits. **353** Additional details about the datasets and tasks can **354** be found in Appendix [A.2.](#page-9-18) **355**

Evaluation Metrics. We use the evaluation met- **356** rics established by the LaMP benchmark for each **357** task. For Personalized Movie Tagging (LaMP 2), **358** we measure Accuracy (Acc.) and F-1 Score (F- **359** 1). For Personalized Product Rating (LaMP 3), **360** we assess performance using Mean Absolute Er- **361** ror (MAE) and Root Mean Squared Error (RMSE). **362** For Personalized Tweet Paraphrasing (LaMP 7), we **363** apply the ROUGE-1 (R-1) and ROUGE-L (R-L) **364** metrics. 365

Baseline 1: Non-personalized Instruction-tuned **366** Models. We evaluate CHAMELEON against two **367** general purpose instruction-tuned models: Mistral- **368** 7B-v0.3-Instruct [\(Jiang et al.,](#page-8-21) [2023\)](#page-8-21) and Flan- **369** T5 XXL [\(Chung et al.,](#page-7-6) [2022\)](#page-7-6). Both models are **370** assessed using the same set of user queries as **371** CHAMELEON, following the same prompt format **372** and using the same pre-selected user history pro- **373** file—excluding any insights. Additional prompt **374** details can be found in Appendix [A.3.](#page-11-0) **375**

Baseline 2: Personalization Methods. We also **376** compare CHAMELEON against two personalization **377** techniques, namely LLM-REC [\(Lyu et al.,](#page-9-13) [2024\)](#page-9-13), **378** a prompting-engineering personalization method, **379** and ALOE [\(Wu et al.,](#page-9-6) [2024\)](#page-9-6), a supervised Fine- **380** tuning (SFT) personalization method. **381**

Group Personalization Setup. To implement **382** group-scale personalization (Section [3.3\)](#page-4-0), we ran- **383** domly select 100 users from the training split of **384** the LaMP benchmark. Using PCA-based history **385** selection (Section [3.1\)](#page-2-1), we choose up to 10 user his- **386** tory entries per profile. For each user, we generate **387** personalized and neutral insight pairs along with **388** self-generated preference data. Any data where **389** the personalized and non-personalized outputs are **390** identical is discarded. We then combine the self- **391** generated preference data for all users, perform **392**

Models \rightarrow		Mistral Instruct				Flan T5 XXL			
Dataset	Metric	Instruct	LLM	ALOE	CHAMELEON	Instruct	LLM	ALOE	CHAMELEON
		Model	-REC			Model	-REC		
LaMP ₂	Acc. \uparrow	0.198	0.262	0.307	0.396	0.238	0.214	0.333	0.420
	$F-1$ \uparrow	0.236	0.309	0.220	0.349	0.171	0.146	0.255	0.311
LaMP3	$MAE \downarrow$	0.497	0.484	0.423	0.407	0.456	0.798	0.427	0.400
	RMSE \downarrow	0.944	0.976	0.888	0.815	0.818	1.439	0.786	0.714
LaMP7	$R-1 \uparrow$	0.354	0.183	0.362	0.381	0.333	0.225	0.376	0.429
	$R-L \uparrow$	0.295	0.144	0.313	0.334	0.292	0.196	0.331	0.385

Table 1: CHAMELEON outperforms all baselines in personalization for users with history. Best performance is highlighted in bold. Metrics where higher values indicate better performance are shaded in blue cells , while metrics where lower values are preferable are marked with **green cells**.

	Models \rightarrow		Mistral Instruct	Flan T5 XXL		
Dataset	Metric	ALOE	CHAMELEON	ALOE	CHAMELEON	
LaMP ₂	Acc. \uparrow	0.227	0.363	0.109	0.390	
	$F-1$ \uparrow	0.177	0.338	0.040	0.304	
LaMP3	$MAE \downarrow$	0.522	0.442	0.544	0.413	
	RMSE J	0.906	0.903	1.030	0.839	
LaMP7	$R-1 \uparrow$	0.185	0.377	0.251	0.420	
	$R-L \uparrow$	0.155	0.331	0.206	0.373	

Table 2: CHAMELEON performance compared ALOE on new unseen users.

 group-scale alignment, and evaluate the personal- ized model on unseen user queries from the LaMP test split (Section [4.3\)](#page-5-2). This process is repeated for different random sets of 100 users, and we report the average performance.

398 4.2 Aligns LLMs to user-specific preferences

 Setup. We compare CHAMELEON with the pre- viously mentioned baselines. In the self-insight generation process, user history data is fed directly to the models using simple prompts (see Appendix [A.3\)](#page-11-0), without access to human annotations.

 Results. As shown in Table [1,](#page-5-3) CHAMELEON consistently outperforms all baselines. Remark- ably, these improvements are achieved with min- imal user history data and without any training and fine-tuning, surpassing an SFT-based method (ALOE). These results validate our claim that CHAMELEON can effectively align LLMs to in-dividual user preferences.

412 4.3 Generalizes to unseen users

 Setup. We also assess CHAMELEON's ability to personalize for new, unseen users who have no prior history. In this evaluation, we run both CHAMELEON and ALOE on the LaMP training split and evaluate their performance on test samples from users not included in the training data. **418** This experimental setup is not applicable to instruct **419** models and LLM-REC, as both of these methods **420** use prompt-based personalization and do not dif- **421** ferentiate between seen and unseen users. **422**

Results. Table [2](#page-5-4) demonstrates that CHAMELEON **423** achieves strong personalization performance even **424** with new, unseen users, **validating our claim that** 425 CHAMELEON can effectively generalize to users **426** without prior history. In contrast, ALOE strug- **427** gles in this scenario, suggesting that it may overfit **428** to the characteristics of users in the training set. **429**

4.4 Group-scale personalization improves **430** performance **431**

Setup. To assess the effectiveness of group-scale **432** personalization compared to single-user person- **433** alization, we run CHAMELEON on groups of **434** varying sizes. We experiment with group sizes **435** of {1, 20, 40, 60, 80, 100} on both LaMP2 and **436** LaMP3 tasks, while keeping the amount of gener- **437** ated insights and preference data per user constant. **438**

Results. Figure [3](#page-6-2) reveals a clear trend: as the **439** number of users in the group increases, personal- **440** ization performance consistently improves. This is **441** evident both when shifting from a single-user setup **442**

Figure 3: The change of performance when different number of users are given to CHAMELEON

 (left-most point, where number of users = 1) to group personalization, and as the group size grows. These results support our claim that group per- sonalization offers performance gain compared to single-user personalization.

448 4.5 Outperforms DPO in time-constrained **449** scenario

 Setup. We compare CHAMELEON with DPO [\(Rafailov et al.,](#page-9-19) [2024\)](#page-9-19) and ALOE [\(Wu et al.,](#page-9-6) [2024\)](#page-9-6), a tuning-based alignment and SFT-based person- alization methods, in a time-constrained scenario where alignment must be performed quickly. In this setup, we fix the time allowed for all methods and get the number of samples for each method within that time. This setup reflects real-world situ- ations where instant personalization is required for new users with little to no available data. Hyperpa- rameter details for DPO and ALOE are provided in Appendix [A.5.](#page-13-0)

 Results As shown in Figure [4,](#page-6-3) CHAMELEON consistently delivers stable personalization gains in the time-constrained scenario, whereas both ALOE and DPO struggle with limited sample availabil- ity. This supports our claim that CHAMELEON is more suitable than resource-intensive ap-proaches in time-sensitive scenarios.

469 4.6 Ablations

470 Setup. To examine the impact of the amount **471** of user history data on performance, we run

Figure 4: CHAMELEON compared with DPO and ALOE in time-constrained scenarios. The columns denotes the improvement from the instruction-tuned model.

CHAMELEON on the LaMP2 task, varying the num- **472** ber of history per user as {5, 10, 15, 20, 25}, while **473** keeping the number of users in the group constant. **474**

Results. Figure [5](#page-7-7) illustrates that when the **475** amount of user history data is small, the perfor- **476** mance improvement of CHAMELEON is limited. 477 This limitation likely arises from the difficulty in **478** generating accurate personalization insights with **479** insufficient data. Conversely, when the amount **480** of history data is too big, the performance of **481** CHAMELEON declines. We hypothesize that this **482** deterioration occurs because too many history pro- **483** files may introduce unrelated or outdated samples, **484** hindering effective personalization. 485

5 Discussion **⁴⁸⁶**

Limitations. While CHAMELEON successfully **487** delivers scalable personalization with minimal **488** costs, it has some limitations. A key challenge is **489** its dependence on the quality of the self-generated **490** preference data. Although aligning the model with **491**

Figure 5: The change of performance when different number of history data per user are given to CHAMEL FON

 this data yields promising results, the effectiveness of the personalization largely depends on how ac- curately and comprehensively user preferences are captured by the base LLM. Future research could focus on developing more refined metrics to cap- ture personal characteristics better, ensuring more precise and reliable self-alignment.

 One potential risk with CHAMELEON is the pos- sibility of malicious input in user history. Since CHAMELEON relies on a limited amount of user history to generate self-preference data for align- ment, harmful or biased history inputs could unin- tentionally lead the model to produce toxic or mali- cious responses. This highlights the need for strong safeguards, such as thorough filtering and ethical review processes, to prevent the model from align- ing with or reinforcing negative behaviors while still delivering effective personalization.

 Ethical Considerations. Privacy has long been a problem for LLM personalization, as personal- izing LLMs usually require large-scale personal data and preferredly (human) labeled, which could lead to potential privacy leaks. Though personal- ization dataset, like LaMP benchmark dataset used in our experiments, is publicly accessible an does not raise privacy concerns, personal data collec- tion and usage still presents significant challenge in personalizing LLMs. With our approach, we only acquire a very small portion of user historical data and resolve data labeling problem with self- generation technique. And since self-generated user preference data are fake synthetic data for per- forming alignment, it can possibly reduce the risk of privacy leaks.

 Conclusion. We present CHAMELEON, a novel light-weight, scalable approach for personaliz- ing LLMs without access to large-scale human-annotated personal data and individual fine-tuning. By leveraging the ability to conclude and capture **530** user characteristics and preferences, CHAMELEON **531** adjusts the model embeddings during inference **532** to generate outputs that are more aligned with **533** user preferences. Our experiments show that **534** CHAMELEON significantly enhance the personal- **535** ization ability of base language models using only **536** a small portion of real user data, and it is able **537** to adapt models with multiple user expectations **538** within one single alignment process. 539

This work represents an initial step toward **540** achieving cost-free, rapid, group-scale personaliza- **541** tion that current personalization methods struggle **542** to address. **543**

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A Appendix **⁷⁹⁰**

A.1 Glossary **791**

Table [3](#page-10-0) shows glossary of terms used in this paper. **792**

793

A.2 Dataset and Task Details **794**

The LaMP dataset is a publicly available dataset for **795** personalizing LLMs. We only used LaMP dataset **796** for the purpose of running the experiments. **797**

The tasks of LaMP we experimented with are as **798** follows: **799**

Symbol	Definition
\boldsymbol{y}	Ground truth output
\hat{y}	Model prediction
	Set of user history for user u
	i-th user history for user h (i-th data data in \mathcal{H}_u)
$\begin{array}{c} \mathcal{H}_u \\ h_u^i \\ e_u^i \end{array}$	Sentence embedding of h_u^i
	Embedding matrix of user history for user u
	Top k selected history data
	Personalized agent
	Non-personalized agent
	Personalized insights for user u
	Non-personalized insights for user u
	i-th personalized insight for user u
	i-th non-personalized insight for user u
	Model prediction conditioned on $c_u^{i,P}$
	Model prediction conditioned on $c_u^{i,N}$
	i-th query for user u
	Personalized preference for user query q_u^i
	Non-personalized preference for user query q_u^i
	Set of personalized preferences for user u
	Set of non-personalized preferences for user u
	Personalized embedding direction
θ^N	Non-personalized embedding direction
	Personalized embedding direction for user u at layer l
$\theta_{l,u}^P\\ \theta_{l,u}^N$	Non-personalized embedding direction for user u at layer l
x_l	Representation (embedding) at layer l
$\hat{x}_{l,u}$	Personalized representation for user u at layer l

Table 3: Glossary of variables and symbols used in this paper.

- **800** 1. LaMP 2: Personalized Movie Tagging. **801** Given a user profile of user history tagging **802** along with the movie description, you are **803** tasked to predict the movie tag given a new **804** movie description.
- **805** 2. LaMP 3: Personalized Product Rating. **806** Given a user profile of user history product **807** rating along with the product reviews, you are **808** tasked to predict the rating of a product given **809** a new product review wrote by the user.
- **810** 3. LaMP 7: Personalized Tweet Paraphrasing. **811** Given a user profile of user history tweets **812** you are tasked to predict how the user may **813** paraphrase a new given tweet.

814 Details of LaMP dataset is presented in Table [4.](#page-12-1) **815** [*italic text*] presents actual data.

816 A.3 Prompt Template

 Following is the history and prompt template used to query the base LM to generate preference sam- ples for different LaMP task. History prompt for- mat follows the format used by LaMP benchmark [\(Salemi et al.,](#page-9-4) [2024\)](#page-9-4).

822 LaMP 2: Personalized Movie Tagging

823 **Personalize prompt:** Suppose you are a user **824** with the following user profile history of movie **825** tagging: [HISTORY]

826 Now, given a new description: [QUERY]

 Question: Which tag does this movie relate to among the following tags? Just answer with only ONE tag name without further explanation. tags: [sci-fi, based on a book, comedy, action, twist end- ing, dystopia, dark comedy, classic, psychology, fantasy, romance, thought-provoking, social com-mentary, violence, true story]

 You are a helpfully personalized assistant. You try to predict the movie tagging that the user pre- ferred based on their history. The user prefers [IN-**SIGHT**]. Answer only with one tag name (sci-fi, based on a book, comedy, action, twist ending, dystopia, dark comedy, classic, psychology, fan- tasy, romance, thought-provoking, social commen-tary, violence, true story).

842 Your answer: [OUTPUT]

843 Non-personalize/Neutral prompt: Suppose you **844** are a user with the following user profile history of **845** movie tagging: [HISTORY]

846 Now, given a new description: [QUERY]

847 Question: Which tag does this movie relate to **848** among the following tags? Just answer with only ONE tag name without further explanation. tags: **849** [sci-fi, based on a book, comedy, action, twist end- **850** ing, dystopia, dark comedy, classic, psychology, **851** fantasy, romance, thought-provoking, social com- **852** mentary, violence, true story] 853

You are a generic and impersonal assistant. You **854** do not consider the user's preferences or profile **855** history when responding. Your answer shoulds **856** [INSIGHT]. Answer only with one tag name (sci- **857** fi, based on a book, comedy, action, twist ending, **858** dystopia, dark comedy, classic, psychology, fan- **859** tasy, romance, thought-provoking, social commen- **860** tary, violence, true story). **861**

Your answer: [OUTPUT] 862

History format: **863**

- 1. The tag for movie: "[DESCRIPTION 1]" is **864** "[TAG 1]". **865**
- 2. The tag for movie: "[DESCRIPTION 2]" is **866** "[TAG 2]". **867**

3. ... **868**

LaMP 3: Personalized Product Rating **869**

Personalize prompt: Suppose you are a user 870 with the following user profile history of product 871 rating based on the user's review of the product: **872** [HISTORY] **873**

Now, given a new review by the user: [QUERY] **874** Question: What is the rating score of the follow- **875** ing review on a scale of 1 to 5? Just answer with 1, **876** 2, 3, 4, or 5 without further explanation. **877**

You are a helpfully personalized assistant. You **878** try to predict the rating of the product based on the **879** user history ratings. The user prefers [INSIGHT]. **880** Just answer with 1, 2, 3, 4, or 5 without further **881** explanation. **882**

Your answer: [OUTPUT] 883

Non-personalize/Neutral prompt: Suppose **884** you are a user with the following user profile his- **885** tory of product rating based on the user's review of **886** the product: [HISTORY] **887**

Now, given a new review by the user: [QUERY] **888** Question: What is the rating score of the follow- **889** ing review on a scale of 1 to 5? Just answer with 1, **890** 2, 3, 4, or 5 without further explanation. **891**

You are a generic and impersonal assistant. You **892** do not consider the user's preferences or profile **893** history when responding. Your answer should [IN- 894 SIGHT]. **895**

Your answer: [OUTPUT] 896

History format: 897

1. [SCORE 1] is the rating score for product: **898** "[TEXT 1]". **899**

900 2. [SCORE 2] is the rating score for product: **901** "[TEXT 2]".

902 3. ...

903 LaMP 7: Personalized Tweet Paraphrasing

 Personalize prompt: Suppose you are a twit- ter user with the following user profile history that shows their preferred way of speaking: [HIS-**907** TORY]

908 Now, given a new twitter post: [QUERY]

909 Question: Paraphrase the tweet in the style the **910** user likes without any explanation before or after **911** it.

 You are a helpfully personalized assistant. You try to paraphrase the tweet in the style the user likes based on the history. The user prefers [INSIGHT]. Your answer: [OUTPUT]

 Non-personalize/Neutral prompt: Suppose you are a twitter user with the following user profile history that shows their preferred way of speaking: [HISTORY]

920 Now, given a new twitter post: [QUERY]

921 Question: Paraphrase the tweet in the style the **922** user likes without any explanation before or after **923** it.

 You are a generic and impersonal assistant. You do not consider the user's preferences or profile history when responding. Your answer should [IN-**927** SIGHT].

A.4 Details on Representation Editing **933**

We provide the details of Section [3.2.](#page-3-0) We identify **934** personalized and non-personized directions using **935** singular value decomposition (SVD) or contrast **936** consistent search (CCS) on the preference data em- **937** beddings. Let Φ_l represent the function that maps **938** an input sentence to the LM embedding space at **939** layer *l*. For each pair $(p_u^{i,P}, p_u^{i,N})$, we obtain their **940** corresponding representations $\Phi_{l,u}^{i,P}$ and $\Phi_{l,u}^{i,P}$, respectively. To begin, we construct an embedding **942** matrix for personalized direction for user u, de- 943 noted as $\mathbf{H}_{l,u}^{P}$, using these representations: **944**

$$
\mathbf{H}_{l,u}^{P} := \left[\Phi_{l,u}^{1,P} \middle| \dots \middle| \Phi_{l,u}^{K,P} \right]^{T}, \tag{945}
$$

where K is the total number of self-generated data. 946 Similarly, we create the non-personalized prefer- **947** ences embedding matrix $\mathbf{H}_{l,s}^{N}$ $\frac{d}{dx}$. **948**

SVD-Based Identification. Our approach for **949** identifying personalized embedding directions in- **950** volves using singular value decomposition (SVD) **951** on the preference data embeddings. We extract the **952** top right singular vector of $\mathbf{H}_{l,u}^P$ as $\theta_{l,u}^P$. Intuitively, 953

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- **983**

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- **988**

954 we view θ as the direction that best captures the un-**955** derlying personalized characteristics. We identify **956** the personalized embedding direction for user u as **957** follows:

958
$$
\mathbf{H}_{l,u}^{P} = \mathbf{U} \Sigma \mathbf{V}
$$

$$
\theta_{l,u}^{P} := \mathbf{V}_{0,*}.
$$
 (3)

 Here, U and V represent the left and right unitary matrices produced by running SVD, respectively, 962 and Σ is the diagonal matrix of singular values. **We define** $\theta_{l,u}^P$ **as the first row of V**, corresponding 964 to the top right singular vector of $H_{l,u}^P$. The non-**personalized direction** $\theta_{l,u}^N$ is defined similarly.

CCS-Based Identification [\(Burns et al.,](#page-7-5) [2023\)](#page-7-5). Another approach for identifying these directions is by finding a hyperplane in the latent space that separates personalized data embeddings from non- personalized ones. Typically, this is achieved by 971 training lightweight probes $\theta_{l,u}$ that maps $\Phi_{l,u}^P$ and $\Phi_{l,u}^N$ to their respective classification labels [\(Li](#page-8-15) [et al.,](#page-8-15) [2024a\)](#page-8-15). However, we face the challenge of avoiding overfitting to the noise inherent in self- generated data, which limits the applicability of su- pervised classifier loss in our context. To mitigate this issue, we employ the unsupervised Contrast-**Consistent Search (CCS)** loss \mathcal{L}_{CCS} proposed in [\(Burns et al.,](#page-7-5) [2023\)](#page-7-5). Adapting the definition from **[\(Burns et al.,](#page-7-5) [2023\)](#page-7-5) to our notations,** \mathcal{L}_{CCS} **for each** user u can be expressed as:

982
$$
\mathcal{L}_{consistency}(g_{\theta,b}, \Phi_{l,u}^{i,P}, \Phi_{l,u}^{i,N})))
$$
\n983
$$
:= [g_{\theta,b}(\Phi_{l,u}^{N}) - (1 - g_{\theta,b}(\Phi_{l,u}^{P}))]^{2}
$$
\n984
$$
\mathcal{L}_{confidence}(g_{\theta,b}, \Phi_{l,u}^{i,P}, \Phi_{l,u}^{i,N})))
$$
\n985
$$
:= \min \{g_{\theta,b}(\Phi_{l,u}^{N}), g_{\theta,b}(\Phi_{i,u}^{P})\}
$$
\n986
$$
\mathcal{L}_{CCS}(g_{\theta,b}) := \frac{1}{K} \sum_{i=1}^{K} (\mathcal{L}_{consistency}(g_{\theta,b}, \Phi_{l,u}^{i,P}, \Phi_{l,u}^{i,N}) + \mathcal{L}_{confidence}(g_{\theta,b}, \Phi_{l,u}^{i,P}, \Phi_{l,u}^{i,N})),
$$

where $g_{\theta,b}(v) = \frac{1}{1+e^{-(\theta^{\top}v+b)}}$. Training $\theta_{l,u}^N$ with **the** L_{CCS} **objective aims to find a separating hyper-plane without fitting any labels with** $\mathcal{L}_{consistency}$ and concurrently promoting maximum separation 993 with $\mathcal{L}_{confidence}$.

 Hybrid Identification. While both SVD-based or CCS-based identification can be used to identify both of personalized and non-personalized direc-tions, our exploration revealed that the best results

are achieved by combining the two approaches. **998** Specifically, we use SVD to identify $\theta_{l,u}^P$ and CCS 999 to determine $\theta_{l,u}^N$. This combined approach lever- **1000** ages the strengths of both techniques: SVD's abil- **1001** ity to capture the primary direction of personalized **1002** embeddings and CCS's effectiveness in identifying **1003** the hyperplane that best separates non-personalized **1004** embeddings from personalized ones. **1005**

A.5 Time-constrained experiment Set Up **1006**

CHAMELEON The approximation for the time **1007** taken for our experiment is 10, 20, 30 and 40 min- **1008** utes. **1009**

DPO DPO experiment is trained on $40\%, 60\%,$ 1010 80%, 100% of the LaMP2 partition to get the ap- **1011** proximate same time. The hyperparameters we **1012** used consist of 1 training epoch, a batch size of 16, 1013 a gradient accumulation step of 1, a learning rate **1014** of $5e-5$, a max grad norm of 0.3, a warmup ratio 1015 of 0.1, a precision of bfloat16, a memory saving **1016** quantize flag of "bnb.nf4", a learning rate scheduler **1017** type of cosine, and an optimizer of AdamW with **1018 PEFT** configurations of a r of 256, a α of 128, a 1019 dropout of 0.05 and a task type of causal language **1020** modeling" **1021**

ALOE We trained ALOE with 7%, 23%, 39%, **1022** 55% of the LaMP2 training partition with a rel- **1023** [a](#page-9-20)tively equal percentage of CodeAct data [\(Wang](#page-9-20) **1024** [et al.,](#page-9-20) [2024b\)](#page-9-20) as described by ALOE [\(Wu et al.,](#page-9-6) **1025** [2024\)](#page-9-6). We used parameters provided in their SFT **1026** hyperparameters, which contains 1 training epoch, 1027 a per device train batch size of 1, a gradient accu- **1028** mulation step of 48, a learning rate of 1e-5, and a 1029 max sequence length of 8192.

A.6 Computing Resources **1031**

All experiments are trained on an Amazon EC2 1032 Instances with eight NVIDIA A100-SXM4-40GB. **1033**